

| **Exporter Supply Chain Assurance System  Regulatory Performance Report** |
| --- |
| **1 April to 30 June 2021** |

Table of Contents

[1 OVERVIEW 1](#_Toc77686555)

[2 PERIOD SUMMARY: 1 APRIL to 30 JUNE 2021 2](#_Toc77686556)

[2.1 Livestock exported 2](#_Toc77686557)

[2.2 Reports received and completed 2](#_Toc77686558)

[3 SUMMARY OF ESCAS NON-COMPLIANCE ASSESSMENTS 2](#_Toc77686559)

[4 ESCAS ISSUES IDENTIFIED AND ADDRESSED BY EXPORTERS 3](#_Toc77686560)

[5 SUMMARY OF ASSESSMENTS IN PROGRESS AS AT 30 JUNE 2021 3](#_Toc77686561)

# OVERVIEW

The Exporter Supply Chain Assurance System (ESCAS) requires exporters to have arrangements with supply chain partners (i.e. importers, feedlots, abattoirs) in importing countries to provide humane treatment and handling of feeder and slaughter livestock[[1]](#footnote-1) from arrival through to point of slaughter. As ESCAS only applies to feeder and slaughter livestock, the statistics in the report refer only to feeder and slaughter exports. ESCAS does not apply to the export of breeder livestock. ESCAS is underpinned by the following key principles – animal welfare, control and traceability – whereby the exporter must demonstrate, through a system of reporting and independent auditing of their supply chains:

* animal handling and slaughter meets World Organisation for Animal Health (OIE) animal welfare standards (animal welfare)
* the exporter has control of all supply chain arrangements (including having agreements in place with supply chain partners) for the transport, management and slaughter of livestock, and that all livestock remain in the supply chain (control)
* the exporter can account for all livestock through the supply chain (traceability).

If issues arise, ESCAS requires exporters to address any non‑compliance matters within their supply chains. This may be managed by undertaking additional steps or corrective actions at facilities (for example delivering training or upgrading infrastructure), removing non-compliant facilities from a supply chain, or not exporting any further livestock to a supply chain.

Additionally, the ESCAS regulatory framework enables the Department of Agriculture, Water and the Environment to assess information about reported non-compliances with ESCAS requirements and take regulatory action where appropriate. Reports are generally received through one of four pathways: self-reported by exporters, reported by third parties (for example animal welfare organisations or private citizens in an importing country), reported by industry, or identified by the department itself. Reports are assessed by the department using the [guideline for the management of non-compliance](http://www.agriculture.gov.au/export/controlled-goods/live-animals/livestock/regulatory-framework/compliance-investigations/non-compliance)*.*

In response to ESCAS non-compliance, the department may apply regulatory actions to an ESCAS, or in more serious instances to an exporter or an entire market. This may include revoking an ESCAS, varying an ESCAS to remove facilities or apply additional conditions, or suspending or cancelling an exporter’s licence. Regulatory action is applied based on the nature of the non-compliance, and any corrective actions implemented by the exporter is taken into consideration.

# PERIOD SUMMARY: 1 APRIL to 30 JUNE 2021

Below is a summary of the number of feeder and/or slaughter livestock exported, number of reports received, and number of assessments completed during this period (1 April to 30 June 2021).

## 2.1 Livestock exported

During this period 394,310 livestock were exported under ESCAS arrangements. Ten countries imported these livestock. The number by species exported to each country is presented in Table 1.

Table 1 Summary of livestock exported - 1 April to 30 June 2021

| **Country** | **Buffalo** | **Cattle** | **Goats** | **Sheep** | **Total** |
| --- | --- | --- | --- | --- | --- |
| Brunei Darussalam | 445 | 6312 |  | 1834 | 8591 |
| Indonesia | 963 | 130415 |  |  | 131378 |
| Japan |  | 2430 |  |  | 2430 |
| Kuwait |  | 450 |  | 127290 | 127740 |
| Malaysia | 111 | 4801 | 2300 | 5162 | 12374 |
| Oman |  |  |  | 15000 | 15000 |
| Philippines |  | 10404 |  |  | 10404 |
| Sarawak |  | 1300 |  |  | 1300 |
| United Arab Emirates |  | 352 |  | 34000 | 34352 |
| Vietnam |  | 50741 |  |  | 50741 |
| **Total** | **1519** | **207205** | **2300** | **183286** | **394310** |

## 2.2 Reports received and completed

During this period, the department received three reports of non-compliance with ESCAS requirements. These reports involved supply chains in Indonesia and Israel. A summary of reports received and completed is presented in Table 2.

Table 2 Summary of reports **received** and **completed** - 1 April to 30 June 2021

| **Report type** | **Outstanding reports as at 31 March 2021** | **Reports *received* in current period** | **Assessments *completed* in current period** | **Assessments remaining in progress as at 30 June 2021[[2]](#footnote-2)** |
| --- | --- | --- | --- | --- |
| ESCAS | 5 | 1 | 0 | 6 |
| Self-reports[[3]](#footnote-3) | 0 | 2 | 2 | 0 |
| **Total** | **5** | **3** | **2** | **6** |

# SUMMARY OF ESCAS NON-COMPLIANCE ASSESSMENTS

No ESCAS non-compliance assessments were completed in this period.

# ESCAS ISSUES IDENTIFIED AND ADDRESSED BY EXPORTERS

Two exporters provided reports to the department regarding their supply chain in the below markets. The reports complied with the department’s ESCAS self-reporting requirements. The reports were received within the required time frame, appropriate corrective action was implemented by the exporter and no regulatory action was taken by the department.

*Table 3 ESCAS issues identified and addressed by exporters - 1 April to 30 June 2021*

| **#** | **Market** | **Species** |
| --- | --- | --- |
| 1 | Indonesia | Cattle |
| 2 | Israel | Sheep |

# SUMMARY OF ASSESSMENTS IN PROGRESS AS AT 30 JUNE 2021

Table 4 provides an overview of all regulatory performance assessments in progress as at 30 June 2021. The status of all reviews can be found at [Regulatory Compliance Investigations](http://www.agriculture.gov.au/export/controlled-goods/live-animals/livestock/regulatory-framework/compliance-investigations/investigations-regulatory-compliance).

Table 4 Summary of ESCAS regulatory performance assessments in progress as at 30 June 2021

| **#** | **Date reported** | **Type** | **Market** | **Species** | **Report** |
| --- | --- | --- | --- | --- | --- |
| 180 | Aug 2020 | Third party report | Indonesia | Cattle | Animal welfare concerns |
| 182 | Aug 2020 | Third party report | Vietnam | Cattle | Loss of control, traceability, and animal welfare concerns |
| 183 | Sep 2020 | Self-report | Vietnam | Cattle | Animal welfare concerns |
| 184 | Dec 2020 | Self-report | Vietnam | Cattle | Loss of control and traceability |
| 185 | Feb 2021 | Self-report | Vietnam | Cattle | Loss of control with no animal welfare concerns, cattle remained in ESCAS approved facilities |
| 186 | Jun 2021 | Third party report | Indonesia | Cattle | Animal welfare concerns |

1. ‘Livestock’ refers to cattle, sheep, goats, deer, buffalo and camelids. [↑](#footnote-ref-1)
2. A summary of assessments in progress is provided in Section 5 of this report. [↑](#footnote-ref-2)
3. These reports were received within the required time frame, appropriate corrective action was implemented by the exporter and no regulatory action was taken by the department. A summary of these reports is provided in Section 4 of this report. [↑](#footnote-ref-3)