

Australian Government

Department of Agriculture, Fisheries and Forestry

GUIDELINE

Inspection of empty bulk vessels for export

Direction to staff

You must comply with this instructional material under the Practice Statement Framework.

Direction to authorised officers

Authorised officers must exercise powers and perform functions in accordance with any lawful directions or instructions issued by the department.

Direction to Industry

This guideline outlines the requirements for empty bulk vessels for loading of prescribed goods for export. All parties with roles and responsibilities explicit in this guideline and legislation must comply with it.

Summary of main points

This document outlines the policy and process for the inspection of empty bulk vessels for loading of prescribed goods for export. It includes:

- pre-inspection requirements
- documentation requirements
- vessel inspection requirements
- principles for passing and failing holds and other areas of a vessel
- principles for suspending, revoking suspension and revoking bulk vessel approval
- treatment and reconditioning requirements
- requirements for issuing bulk vessel approval
- marine surveyor requirements.

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Purpose of this document

This guideline details the policy and process for the inspection of empty bulk vessels for the loading of prescribed goods for export.

Definitions

The following table defines terms used in this document. Additional terms and definitions relating to bulk vessels are captured in Reference: <u>Bulk vessel terms and definitions</u>.

Term	Definition	
Accredited marine surveyor	A marine surveyor that has a current valid accreditation under the Accredited Grain Surveyor Assurance (AGSA) scheme and the Export Control (Plants and Plant Products) Rules 2021 with the Australasian Institute of Marine Surveyors (AIMS).	
Authorised officer (AO)	A person authorised under section 291 of the <i>Export Control Act</i> 2020 to be an authorised officer. The authorised officer may exercise powers and functions conferred on them through an instrument of appointment.	
	Note: An authorised officer may be a Commonwealth, State or Territory government officer or third-party individual. Examples of third-party individuals include, but are not limited to:	
	employees of registered establishments	
	employees of an exporter	
	employees of export brokers	
	 employees of marine surveying companies 	
	self-employed individuals/sole traders.	
Buddy	Someone who is a partner or workmate and is one of a pair or team that is working under the buddy system.	
Buddy system	A process whereby a worker's safety is enhanced by the supportive acts of workmates.	
Bulk goods/bulk commodities	Unpackaged goods, that are generally sold or traded in large quantities, and are loaded directly into a shipping container or vessel hold.	
Bulk into ship hold inspection record	The approved form for an authorised officer to record the findings and result of an inspection of bulk prescribed grain and plant products for export in or on a bulk vessel.	
	Note: The bulk into ship hold inspection record includes PEMS or the equivalent manual record available on the PEOM	
Bulk vessel	A vessel that is designed to be used to transport prescribed grain or prescribed plants and plant products in bulk from Australia to an overseas destination.	
Bulk vessel approval	An approval of the bulk vessel for loading, that is in force (has not expired or been revoked) under Part 5 of Chapter 9 of the Export Control (Plant and Plant Products) Rules 2021.	
	Note: The bulk vessel approval is documented through a bulk vessel inspection record.	

Term	Definition
Bulk vessel inspection record	The approved form on which an authorised officer records bulk vessel inspection details and results, including whether a cargo space (such as a hold) in or on a bulk vessel, is approved for loading, or has failed inspection.
	Note: The bulk vessel inspection record includes PEMS or the equivalent manual record available on the PEOM.
Bulk vessel loading running record	The approved form on which an authorised officer records inspection activity or issues as they arise during loading of a bulk vessel.
	Note: The bulk vessel loading running record is available on the PEOM.
Cargo	Any good, other than prescribed goods, that has or will be loaded into or onto a vessel.
Certification	Means a government certificate issued under chapter 2 of the <i>Export</i> <i>Control Act 2020</i> in relation to goods that are to be, or that have been, exported. This may include:
	Official certificates, electronic or manual, issued by the department to endorse that plants and plant products for export are compliant with departmental and the importing country's requirements.
Client	The exporter, exporter's representative, vessel agent or person responsible for the prescribed goods intended for export.
Contaminant	See non-infestible residues.
Consignment	The quantity of plants or plant products identified on the notice of intention to export (NOI) or request for permit (RFP) for export to a particular importing country.
	Can be composed of one or more commodities. May be presented in lots, or may not be presented all at the same time.
Exporter	The entity identified as the exporter in a Notice of Intention/Request for Permit (RFP) to export and has requested the bulk vessel services via an agent for the export of their prescribed goods.
Goods	See 'prescribed goods' below.
Hold	Internal compartment where cargo can be stowed and carried. Generally referred to as a 'ships hold'.
Infestation	Presence of a living pest of the plant or plant product concerned.
	Note: Infestation includes infection.
Infested residue	Residues that are infested with pests, including live or dead rodents and live insects.
Infestible residue or infestible material	Any residue or material that is susceptible to infestation by pests or could harbour pests.

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Term	Definition	
Inspection AO	In this guideline, an AO accredited to inspect empty bulk vessels for export.	
	Note: This role can be performed by departmental and State/Territory government officer or third-party AOs.	
Manual of Importing Country Requirements (Micor)	A database maintained by the department that outlines importing country requirements for a range of plants and plant products for export.	
Marine surveyor	A person appointed to survey the vessel under Part 5 of Chapter 11 of the Export Control (Plants and Plant Product) Rules 2021.	
Maritime Security Identification Card (MSIC)	A nationally consistent identification card that states the holder has undergone a background check and is cleared to work unescorted or unmonitored in a maritime security zone.	
Material Safety Data Sheet (MSDS)	 Are documents that provide critical information about hazardous chemicals and include information on: chemical identity and ingredients 	
	 health and physical hazards 	
	 safe handling and storage procedures 	
	emergency procedures	
	disposal considerations.	
Non-infestible residue	Non-infestible residues includes scale, rust, mineral residues or other contaminating or wetting materials. Non-infestible residues that are found in association with insect infestation or infestible residues are treated as either infested residues or infestible residues, respectively.	
Plant Exports Management System (PEMS)	An electronic system used by the department and Inspection AOs to capture and store inspection information related to the export of plants and plant products from Australia.	
Pest	Any species, strain, or biotype of plant, animal or pathogenic agent that is injurious to plants or plant products.	
Prescribed goods (goods)	 Goods that are listed in the Export Control Rules to be prescribed goods for the purposes of the Export Control Act 2020. This includes: a) prescribed grains b) hay and straw c) fresh fruit d) fresh vegetables e) other plants or plant products for which a phytosanitary certificate or any other official certificate is required by an importing country authority. 	
Residue	Can be either an infested, infestible or non-infestible residue.	

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Term	Definition
Supporting documents	Documents provided by the client as evidence that goods are compliant with departmental and importing country requirements, including: treatment certificates, marine surveyor certificates, marine surveyor declaration, marine surveyor reports, and gas free certificates.
Treatment	Official procedure for the killing, inactivation or removal of pests from a bulk vessel, and an activity to remove or clean residues or contaminants from a bulk vessel.

Legislative framework

The following list outlines the legislation that applies to the inspection of empty bulk vessels for the loading of prescribed goods for export.

- Export Control Act 2020 (Act) and Export Control (Plant and Plant Products) Rules 2021 (Plant Rules)
 - o Item 3, Section 2-4 Plant Rules Prescribed export conditions bulk vessel approval
 - Section 4-13 Plant Rules Prescribed plants or plant products intended to be exported in a bulk vessel
 - Part 5 of Chapter 9 Plant Rules Bulk vessel approvals
 - Part 1 of Chapter 11 Records
 - Part 5 of Chapter 11 Plant Rules Miscellaneous—Accredited marine surveyors and marine surveyor's certificates
- Export Control (Fees and Payments) Rules 2021
- Work Health and Safety Act 2011
- Work Health and Safety Regulations 2011
- Privacy Act 1988
- Public Service Act 1999.

Roles and responsibilities

The following table outlines the roles and responsibilities undertaken in this guideline.

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Role	Responsibility
Client/bulk vessel owner or	Request an inspection appointment.
owner's agent	• Provide supporting documentation to inspection AOs.
	 Where bulk vessel approval has been suspended or revoked, return all bulk vessel approval records to an inspection AO when requested.
	 Notify an accredited AO when the condition of the vessel may have changed.
	• Appoint an accredited marine surveyor (approved by the exporter) in accordance with the legislation and provide the inspection AOs with the name of the marine surveyor.
	• Assist the inspection AO during the course of the inspection and in the performance of their responsibilities, including answering all questions reasonably asked by the AOs undertaking the inspection.
Exporter	 Where applicable, determine treatments to apply to a vessel consistent with instructional material and legislation.
	• Approve the vessel agent's appointment of a qualified marine surveyor to survey the vessel.
Inspection AOs	• Comply with the relevant safety and security protocols of the port of inspection.
	 Ensure they have the appropriate job functions, delegations and powers listed in their Instrument of Appointment to undertake the inspection.
	• Perform bulk vessel inspection tasks in accordance with all relevant instructional material.
	• Perform all empty bulk vessel inspection tasks in pairs of at least two BVI3001 accredited AOs (Buddy system) and in accordance with instructional material and work health and safety (WHS) requirements.
	• Record inspection results on the bulk vessel inspection record.
	 Issue, withhold, fail, suspend or revoke bulk vessel approval in accordance with relevant instructional material and legislative requirements.
	• Validate supporting documents and, where applicable, upload to PEMS or otherwise retain copies for record keeping purposes.
	• (Departmental AO only) Issue invoices for chargeable activities to the client.
Lead crew member (LCM)	• Provide an escort and assistance to the inspection AOs during their inspection of the empty bulk vessel.
	• Maintain radio contact with the vessel crew and master at all times.

Role	Responsibility
Marine surveyor	• Ensuring they are accredited as per Part 5 of Chapter 11 of the Export Control (Plants and Plant Product) Rules 2021.
	 Inspect holds identified for loading of consumable prescribed goods for non-infestible residues or structural damage that may contaminate the goods or lead to the contamination of goods to be loaded, in accordance with legislation.
	 Issue a marine surveyor certificate consistent with legislation, for holds identified for loading with consumable prescribed goods.
Vessel master	Provide a safe working environment for AOs.
	• Provide an escort LCM and assistance to the AOs during the inspection of the empty bulk vessel.
	• Provide the inspection AOs with access to information relevant to
	 current and previous cargoes
	 any previous vessel treatments.
	• Advise the inspection AO before the inspection commences if there has been a change in the vessel's condition after the marine surveyor's certificate was issued.
	• Return all bulk vessel approval records to an accredited AO when requested, where bulk vessel approval has been failed, suspended or revoked.
	• Where applicable, determine treatments to apply to a vessel in consultation with the exporter and vessel agent, and apply them consistent with department requirements and legislation.
	• Take responsibility for all activities that occur on the vessel including the actions and behaviour of the vessel crew and any cargoes to be brought onto the vessel.
Grain and Seed Exports Program	• Make decisions on requests to extend the expiry date of the bulk vessel approval.
	• Suspend, revoke suspension, or revoke bulk vessel approvals where necessary and appropriate.

Inspection by an AO

- Empty bulk vessels for loading of prescribed goods for export must be inspected by an AO that has been appropriately trained, deemed competent and appointed by the department for the job function BVI3001 *Export inspection of empty bulk vessels*.
- Inspections must be carried out in accordance with the Work Instruction: <u>Inspecting empty bulk</u> <u>vessels for export</u>.
- All third-party AOs involved in the inspection of an empty bulk vessel must use a video recording device worn on the head or face to record their inspection.

Refer to section 'What are the video recording requirements for inspections'.

Inspection of bulk vessels at berth

- Prior to undertaking empty bulk vessel inspections at berth, AOs must be appointed by the department for BVI3001:1 *Export inspection of empty bulk vessels at berth*.
- BVI3001:1 AOs must have acquired and maintain a number of current, nationally recognised accreditations in order to become appointed and to maintain their BVI3001:1 accreditation
 - RIIWHS2O4D Work safely at heights, or equivalent, (valid for two years from date of attainment)
 - DEFWHS010 Identifying a confined space, or equivalent, (valid for two years from date of attainment)
 - HLTAID003 Provide first aid, or equivalent, (valid for three years from date of attainment)
 - Medical assessment report testifying to the AOs suitability to work on a bulk vessel (valid for one year from the date of attainment).

Inspection of bulk vessels at protected anchorage

- Prior to undertaking empty bulk vessel inspections at protected anchorage, AOs must be appointed by the department for BVI3001:2 *Export inspection of empty bulk vessels at protected anchorage*.
- BVI3001:2 AOs must have acquired and maintain a BVI3001:1 job function, including having obtained all aforementioned nationally recognised accreditations and registrations.
- BVI3001:2 AOs must submit an <u>Authorised Officer declaration of boarding experience</u> testifying to the AOs ability to undertake export inspection of empty bulk vessel at protected anchorage.

Work health and safety

Clients and registered establishments should comply with the WHS policies of their organisation during the packing, treatment and movement of goods. This includes compliance with any applicable standards or laws relating to safety on board bulk vessels.

Inspection AOs must:

- comply with applicable Commonwealth, State and Territory WHS legislation
- comply with their employer's WHS policies and procedures
- read and be familiar with the Reference: <u>Work health and safety in the plant export environment</u>
- not enter work sites unless it is safe, they are wearing appropriate personal protective equipment (PPE) and have considered any WHS hazards
- discontinue their inspection if, at any time, they consider there is a risk to their safety
- comply with site-specific requirements, unless they assess the requirements as placing them at risk, in which case they must take reasonable action to ensure their safety
- continually assess the possible risks in the different areas of the vessel while performing their duties.

The following sections articulate some specific risks that inspection AOs must consider on the vessel.

Bulk vessel inspection buddy system

- At least 2 BVI3001 accredited inspection AOs must perform the inspection of empty bulk vessels (buddy system)
 - \circ ~ Inspections conducted at berth require 2 BVI3001:1 accredited AOs ~
 - \circ Inspections conducted at protected anchorage require 2 BVI3001:2 accredited AOs.

Note: This can be made up of one departmental and one State/Territory government officer or third-party AO, 2 departmental AOs or 2 State/Territory government officer or third-party AOs.

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- Inspection AOs must identify their buddy and complete the activities outlined in Attachment 1: <u>Buddy system</u> prior to undertaking an empty bulk vessel inspection.
- Only one AO (AO 1) must enter the vessel hold to perform inspection tasks. While AO 1 performs the hold inspection, their buddy (AO 2) must remain outside the hold and perform inspection tasks from above and supervise AO 1 in the hold.
- An inspection AO must discontinue their inspection if, at any time, they consider the actions of their buddy puts their safety at risk.

Assessing work health and safety risk

• Each inspection AO must complete their own Reference: <u>Pre-entry hazard assessment of third</u> <u>party worksites including bulk vessels (Take 5 Checklist)</u> before boarding a vessel, prior to commencing the inspection, and when moving about on the vessel.

Personal protective equipment for bulk vessels

- Inspection AOs must wear, and use correctly, all Personal Protective Equipment (PPE) identified in the <u>Take 5 checklist</u>.
- PPE must be in good order and fit for purpose.

For example:

- wearing shoes that are appropriate and likely to reduce the risk of slipping
- using a safety harness and hard hat at all times during the inspection where practicable
- having access to first aid equipment.

Care and maintenance of equipment

- AOs must maintain, store and use their PPE in accordance with the manufacturer's instructions and any relevant Australian Standard and requirements of the AOs employer.
- The AO must regularly inspect the PPE and inspection equipment and remove from service if the PPE and/or inspection equipment is damaged, broken or passed its used-by date.

Go to Reference: <u>*Plant exports quide – Equipment*</u> for more information on the types of PPE needed for the inspection of empty bulk vessels.

Confined spaces on bulk vessels

• Inspection AOs must not enter confined spaces.

Notes:

- Empty dry vessel holds are not classified as confined spaces. These spaces are designed for work and access by vessel personnel and have little to no likelihood of engulfment when empty.
- There are areas within holds that are classified as confined spaces, including bilge wells and void spaces. The presence of any cargo or goods in the hold, including ballast water, deems the hold to be a confined space.

Work health and safety when accessing a hold

Using inspection equipment and tools

- No items, including tools, are to be thrown or dropped into a hold while an AO is in the hold. Any equipment required in the hold must be lowered before the AO enters.
- Any additional items that need to be lowered into the hold are only done so with the permission of all inspection AOs present.

Using ladders on bulk vessels

- An inspection AO must visually inspect a ladder and complete a <u>Take 5 checklist</u> before use. If the ladder is unsafe the inspection AO must not use the ladder and must cease the inspection until a safe alternative is provided.
- Inspection AOs must wear, and use correctly, all PPE identified in the <u>Take 5 checklist</u> appropriate for climbing ladders, or at any time when a fall risk exists.

Post-fumigation inspections

An inspection AO must not commence a post-fumigation inspection or enter a space that has been fumigated until they have sighted:

- a fumigation certificate from a licensed fumigator
- a gas clearance certificate for the space issued by an industrial chemist, a licensed fumigator or a member of the Australian Maritime Safety Authority (AMSA).

Important: Certification that the concentration of the fumigant has been reduced to a safe level generally only applies to the free space of a hold.

If alerted to the presence of hazardous levels of fumigant either from advice from the Lead crew member (LCM) or through the use of a gas detection device (such as a photo ionization device) the AO must withdraw from the hold immediately and must not re-enter until further airing is completed and a new gas clearance certificate has been issued.

Work health and safety reporting requirements

- All WHS incidents, near misses and any hazards must be reported to the master of the bulk vessel and the department
 - \circ departmental AOs must record all WHS incidents, near misses, and any hazards in Aurion
 - State, Territory and third-party AOs must report any hazards, near misses or incidents to the department by emailing <u>Plant Export Training</u>.
 - any incident occurring on board a vessel must also be reported to the Australian Maritime Safety Authority (AMSA). Information about how to report to AMSA is available at the <u>AMSA</u> <u>website</u>.

Essential inspection equipment

- Third-party inspection AOs must have a video recording device that can be worn on the head or face capable of recording at a minimum 25 fps at a resolution of 540 p, with a minimum recording bit rate of 6 Mbps and a recommended maximum bit rate of no more than 20 Mbps.
- Inspection AOs must ensure they have the necessary approvals that provide access to the port at which they will be inspecting the bulk vessel or boarding the transfer vessel to inspect the bulk vessel.
- Inspection AOs must carry an Export Identity Card and a Maritime Security Identification Card (MSIC). They must show these to port security officers and vessel crew if requested.
- Departmental AOs must carry their departmental identity cards at all times.
- Before boarding a bulk vessel, inspection AOs must have all the necessary documentation, and the minimum inspection equipment as outlined in the relevant Work Instruction: <u>Inspecting</u> <u>empty bulk vessels for export</u>.
- Inspection equipment must be in good order and fit for purpose.
- Before boarding a bulk vessel, AOs must have considered relevant work health and safety requirements in relation to PPE.
- The LCM must make available any equipment and crew necessary to operate equipment and to aid with the inspection.

Go to Reference: <u>*Plant exports quide – Equipment*</u> for more information on the type of equipment needed for the inspection of empty bulk vessels.

What are the pre inspection requirements?

Requesting bulk vessel inspection and approval

The inspection AO must notify the vessel owner or owner's agent at the time of booking of their obligation to take video recordings of the bulk vessel inspection under the Plant Rules.

The following table outlines the process for requesting a bulk vessel inspection and approval.

Stage	What happens	Responsible party
1.	Two BVI3001 accredited AOs are booked for the inspection.	 Vessel owner or owner's agent
2.	Where the goods to be loaded are consumable, an inspection by an accredited marine surveyor is scheduled.	 Vessel owner or owner's agent
3.	All applicable supporting documentation is submitted to the inspection AOs, including:the name and employer of the marine surveyor appointed to	 Vessel owner or owner's agent
	 survey the vessel an email or other written advice with the name of the accredited marine surveyor that has been approved by the exporter 	
	 a marine surveyor's certificate treatment certificate, gas free certificate, statutory declaration or written declaration from the vessel's master regarding any treatment(s) applied. 	

Marine surveyor's certificate

When is a marine surveyor's certificate required?

If a bulk vessel is intended to carry prescribed goods for consumption, an accredited marine surveyor must issue a certificate stating the relevant holds of the empty bulk vessel are suitable for loading the prescribed goods.

Note: Marine surveyor's certificates may also be referred to as 'fitness to load' certificates or 'FTL' certificates.

When is a marine surveyor's certificate not required?

A marine surveyor's certificate is not required:

- if the prescribed goods to be loaded into a hold are non-consumable, for example woodchips or logs
- for the holds of the vessel that are not intended to be loaded.

What are the requirements for the marine surveyor?

• The marine surveyor appointed to survey the vessel must be an accredited marine surveyor under the AGSA scheme, in accordance with Part 5 of Chapter 11 of the Export Control (Plants and Plant Product) Rules 2021.

- The accredited marine surveyor appointed to survey the vessel and the BVI3001 AO appointed to conduct the bulk vessel inspection must not be employed by the same immediate employer or parent company, or be employed by companies that are under the same management or corporation.
- The accredited marine surveyor cannot subsequently conduct an inspection of a vessel, as a BVI3001 inspection AO, for which they have issued a marine surveyor's certificate.

Marine surveyor information

• The vessel owners or owner's agent must provide the inspection AOs with written advice of the marine surveyor's name and unique AGSA accreditation number.

Note: This may be in the form of an email.

- The appointed marine surveyor must be approved by the exporter prior to it being provided to the AO.
- The name of the marine surveyor on the written correspondence must appear on the Australasian Institute of Marine Surveyors (AIMS) website of accredited marine surveyors at the time of inspection.
- The AO must verify that the marine surveyor is accredited under the AGSA scheme and listed on the <u>AIMS website</u>. When the AO locates the marine surveyor's name on the list, they must take a screenshot of the page and save it for uploading into the Plant Exports Management System (PEMS) database. The screenshot must include the marine surveyor's name and unique AGSA scheme accreditation number.

What are the requirements for the marine surveyor certificate?

- The marine surveyor's certificate must be provided to and validated by the inspection AOs before the AOs can issue bulk vessel approval.
- The AO must verify that the name of the accredited marine surveyor provided by the vessel owner and owner's agent matches the name on the marine surveyor's certificate.
- The inspection AO must validate the certificate in accordance with Guideline: <u>Supporting</u> <u>documents for plant exports</u>. The details of the certificate, including the name of the marine surveyor, must be recorded on the bulk vessel inspection record before the AO issues vessel approval for those holds that are both
 - \circ ~ listed on the marine surveyor's certificate
 - passed for loading by the AOs at inspection.
- Holds of a vessel that were issued a marine surveyor's certificate prior to being put under ballast, do not require a new marine surveyor's certificate once emptied, unless the AO finds that the hold does not comply with the legislation.

What are the inspection requirements?

Bulk vessel inspections are complex due to the size and variation between bulk vessels. Inspection AOs must have a comprehensive understanding of the relevant bulk vessel inspection instructional material and adapt to the wide range of circumstances encountered during a bulk vessel inspection. This will support a thorough inspection of the vessel for infestations, residues and contaminants that could contaminate or infest prescribed goods to be loaded.

- All areas of the bulk vessel, except holds under ballast and holds already loaded, must be inspected during inspection, as far as it is appropriate to do so.
- Bulk vessel inspections must occur during daylight hours.
- Inspection AOs must not use artificial light clusters, such as wharf lighting, as an alternative to daylight, as it is known to attract insects.

- Bulk vessel inspection must not be undertaken while raining; inspection of weather deck must not be undertaken while it is still wet.
- Hatch/hold covers must be fully open during hold inspections.

Note: Even with maximum daylight, AOs will be required to inspect structures and areas of the vessels that are in semi-darkness or shadow using a torch.

What are the video recording requirements for inspections?

Third-party inspection AOs must

 record <u>every</u> bulk vessel inspection they undertake from the beginning of the inspection to the end of the physical inspection via a video recording device worn on the head or face.

For example, a helmet-mounted camera or smart-glasses.

Important: Body-worn cameras are not acceptable.

- advise the vessel master and/or Chief officer (or other relevant LCM):
 - $\circ \quad$ of their obligation to video record the bulk vessel inspection
 - that sound will not be recorded
 - that any vessel crew not wishing to be inadvertently captured on the video recording should avoid being present around the AO during the inspection.
- withdraw themselves from the bulk vessel inspection if consent to video record is withdrawn by the vessel master and/or Chief officer (or other relevant LCM).

What must be recorded

- Both third-party inspection AOs involved in the inspection (BVI buddies) must separately record the inspection at all times.
- All aspects of the inspection procedure must be video recorded, including:
 - o inspection of the
 - empty holds
 - fo'c's'le lockers
 - weather deck
 - mast houses
 - provision stores and galley
 - dunnage
 - o reinspections.
- The video recording must include
 - $\circ \quad \text{time and date} \quad$
 - the name of the vessel prior to boarding.
- If boarding at a protected anchorage, the AO must record the sea conditions in the vicinity of the bulk vessel and at the boarding ladder.
- Whilst AO 1 performs the hold inspection, their buddy (AO 2)
 - o must record the inspection tasks they perform from outside the hold
 - \circ does not need to continue to record whilst supervising AO 1 in the hold only.
- If the AO remains on board during cleaning or other rectification activities undertaken by the LCM or master, the AO
 - does not need to continue recording while waiting for the cleaning/rectification process to conclude
 - must re-commence recording when they are re-inspecting or re-assessing areas that required cleaning/rectification

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- record the contamination that may have been knocked down in the affected areas, including inspection of the bags/drums of contamination if they have been removed from the area.
- The video recording does not have to include
 - o boarding the vessel
 - preparing for the inspection
 - validating supporting documents
 - o completing paperwork, such as the Take 5 Checklist
 - completing the inspection record.
- The video recording must not include
 - \circ sound
 - the port/wharf area
 - o rest, bathroom or meal breaks
 - \circ pre-inspection interview or any other conversations with the vessel crew
 - $\circ \quad$ crew quarters or any other private area of the vessel.
- If the video recording fails during the inspection, the AO must report the issue to the department immediately via email to the <u>Grain and Seed Exports Program</u>.
- The inspection AO must add comments into the comments field of the inspection record advising the department if a video recording fails during the course of the inspection.

Recording device requirements

- AOs must ensure they have a functional video recording device prior to undertaking the inspection.
- The video recording device must be capable of recording at a minimum
 - o 25 frames per second or higher
 - o 540 p resolution

Note: resolutions of higher than 1080 p are not required for auditing purposes.

bit rate of 6 Mbps or higher (12–15 Mbps preferred)

Note: bit rates of higher than 20 Mbps are not required for auditing purposes and create unnecessarily large files.

• AOs must have sufficient spare batteries, video cards and other accessories to record the whole vessel inspection.

Important: memory cards, batteries and the like must be replaced during the course of the inspection as required, and in a safe manner.

Storage of inspection video recordings

- Third-party inspection AOs must store the complete video recording for at least 12 months from the date the recording is taken through the inspection AO's own long term storage device.
- Video recordings of inspections are protected information under the *Privacy Act 1988* and *Export Control Act 2020* and must not be used or disclosed except for another purpose under the Act (such as audit by the department).
- Third-party inspection AOs are responsible for storing video recordings consistent with the Privacy Act.

Sharing video recordings

- AOs must not share video recordings without written permission from the department.
- If an AO is approached by a party requesting access to the video recording, the AO must forward the request to the <u>Authorised Officer Program</u> seeking permission for the recording to be shared.

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Audit of inspection video recordings

- The department may request access to the video recording for the purpose of auditing the inspection AO.
- When requested by the department, the inspection AO must send a copy of the recording via mail (in an SD card or external solid state drive).
- If the recording is physically mailed to the department, it will be returned to the senders address once auditing of the video recordings is complete.

Note: The department will provide additional guidance on the process for file transfer as required. It will also provide this guidance when the video recording is requested from the inspection AO.

Approved inspection locations

- Bulk vessel inspections conducted at berth can be undertaken at any port in Australia.
- Bulk vessel inspections conducted at protected anchorage can only be undertaken at approved anchorages at the mooring
 - o in Cockburn Sound, Kwinana
 - o in King George Sound, Albany
 - o east of the main breakwater between Low Rock and Limpet Rock, Esperance
 - o Port Lincoln (East of Point Boston), South Australia
 - Port Phillip Bay, Victoria
 - Outer Harbour (Adelaide), South Australia.

	Anchorage Pos		
Anchorage Designator	Latitude	Longitude	Swing radius (m)
TS1	32° 09.76' South	115° 41 .44' East	475
ORAN1	32° 10.46' South	115° 41 .97' East	300
ORAN2	32° 11.14' South	115° 41.63' East	300
ORAN3	32° 10.94' South	115° 42.16' East	300
ORAN4	32° 11.62' South	115° 41 .82' East	300
ORAN5	32° 11.41' South	115° 42.36' East	300
ORAN6	32° 12.09' South	115° 42.02' East	300
ORAN7	32° 11.88' South	115° 42.55' East	300
ORA1	32° 12.56' South	115° 42.69' East	475
ORA2	32° 13.06' South	115° 42.90' East	475
ORA3	32° 13.56' South	115° 43.11' East	475
ORA4	32° 14.20' South	115° 43.41' East	475
ORA5	32° 14.61' South	115° 43.85' East	475

The following table lists the approved anchorages situated at Cockburn Sound.

Anchorage Designator	Latitude	Longitude	Swing radius (m)
P1	32° 10.47' South	115° 45.40' East	200
P2	32° 10.48' South	115° 44.94' East	200

Note: Fremantle Ports has gazetted a total Exclusion Zone of 700m around any vessel anchored at Anchorage TS1. This Exclusion Zone will apply to all unauthorized craft in accordance with the *Western Australian Port Authorities Act 1999* and the Port Authorities Regulations 2001.

The following table lists the approved anchorages situated at King George Sound, Albany.

	Anchorage Pos		
Anchorage Designator	Latitude	Longitude	Swing radius (m)
A	35° 02.8' South	117° 56 .0' East	550
D	35° 03.7' South	117° 57 .2' East	550
Z	35° 04.4' South	117° 57.4' East	550

The following table lists the approved anchorages situated east of the main breakwater between Low Rock and Limpet Rock, Esperance.

	Anchorage Position (WGS84)		
Anchorage Designator	Latitude	Longitude	Swing radius (nm)
А	35° 51.8' South	121° 55 .8' East	0.35
В	33° 52.35' South	121° 56 .3' East	0.35
D	33° 51.6' South	121° 56.6' East	0.35
E	33° 52.15' South	121° 57.2' East	0.35
F	33° 53.5' South	121° 57.2' East	0.35

The following table lists the approved anchorages situated at Port Lincoln (East of Point Boston), South Australia.

Latitude	Longitude	Swing radius (nm)
34.60 °S	135.88 °E	0.5

The following table lists the approved anchorages situated at Port Phillip Bay, Victoria

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Anchorage name	Latitude	Longitude	Swing radius (metres)
S1	37° 58.209' S	144° 54.298' E	926
S2	37°57.556' S	144° 53.248' E	926
\$3	37° 56.902' S	144° 52.198' E	926
S4	37° 56.235' S	144° 51.166' E	926
S5	37° 57.166' S	144° 50.318' E	926
S6	37° 57.795' S	144° 51.386' E	926
S7	37° 58.424' S	144° 52.454' E	926
S8	37° 58.812' S	144° 50.882' E	926
S9	37° 58.096' S	144° 49.666' E	926
S10	37° 59.026' S	144° 49.014' E	926
S11	37° 59.742' S	144° 50.230' E	926
S12	38° 00.672' S	144° 49.578' E	926
S13	37° 59.955' S	144° 48.362' E	926
G1	38° 01.601' S	144° 48.926' E	926
G2	38° 01.815' S	144° 47.057' E	926
G3	38° 02.531' S	144° 48.273' E	926
G4	38° 02.744' S	144° 46.404' E	926

The following table lists the approved anchorages at situated at Outer Harbour (Adelaide), South Australia.

Latitude	Longitude	Swing radius (nm)
34.95 °S	138.52 °E	0.5

Inspection at a port other than the loading port

Bulk vessels may be inspected and reinspected at any Australian port nominated by the bulk vessel owner or owner's agent, even if it is not the port where loading will take place, as long as there are two inspection AOs available at the port.

Transfer vessel or pilot vessels

The department does not approve or license the transfer vessel or the operator. Provided the vessel and operator are licensed, it may be used for the transfer of AOs to the bulk vessel.

In Australia, domestic commercial vessels are regulated under the *Marine Safety (Domestic Commercial Vessel) National Law Act 2012* (National Law Act). The National Law Act underpins the National System for Domestic Commercial Vessel Safety (national system).

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The Australian Maritime Safety Authority is responsible for the safety of vessels and the seafarers who are operating in the domestic commercial industry. All transfer or pilot vessels and their operators must be licensed. All licence holders must comply with the conditions stipulated in their licence.

Two or more ports loading prescribed goods

Bulk vessels may complete loading at a second or subsequent port in the same or another state or territory if a complete empty bulk vessel inspection occurs at the first port of loading or at another port nominated by the vessel owner or owner's agent and:

- where applicable, a marine surveyor's certificate is issued for bulk vessel holds intending to carry prescribed goods for consumption
- bulk vessel approval has been issued for all holds or other additional areas identified for loading prescribed goods that were specified in the marine surveyor's certificate.

The vessel owner or owner's agent at the port where inspection occurred, must forward a copy of the completed bulk vessel inspection record to the appropriate personnel at the second or subsequent port.

Is further inspection required at a second or subsequent port?

On arrival at a second or subsequent port, further inspections of the bulk vessel's holds or additional areas is not required, unless:

- inspection of a hold that was previously under ballast is required (supplementary inspection)
- an inspection AO suspects the condition of the vessel has changed since the last bulk vessel approval was issued.

Note: A BVI3001 AO operating at the second or subsequent port can suspend or revoke the bulk vessel approval issued at the first port, as necessary and appropriate.

Boarding the bulk vessel

The following table outlines the process to be followed when the inspection AOs board the vessel.

Stage	What happens	Responsible party
1.	The inspection AOs identify themselves to the vessel crew, master, the agent and the other surveyor(s) as applicable.	Inspection AO
2.	A pre-inspection interview is held between the AOs and the master. Note : Further information on the pre-inspection interview is in the Work Instruction: <u>Inspecting empty bulk vessels for export</u> .	 Inspection AO Vessel master or other vessel representative
3.	The LCM is nominated to accompany the inspection AOs. Important: The LCM should have appropriate English communication skills and must maintain radio contact with the rest of the crew at all times.	Vessel master

Opening hatch covers

- The master or LCM must organise lifting and supporting, turning over, or opening and closing hatch covers section by section, to aid a thorough inspection by the AOs.
- Hatch covers must be opened and closed by the vessel's crew to such an extent that the following requirements are met

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- there is sufficient natural light in the hold to allow the inspection AOs to inspect the empty hold
- there is sufficient view of the framework and beam structures below the hatch covers to allow the inspection AOs to inspect these sites
- the hatch covers are open for a sufficient time to allow ventilation prior to an AO entering the hold to perform an inspection.

Timber components on vessels

If the vessel contains timber components, the inspection AOs must inform the LCM that sufficient planks of timber and woodwork must be lifted by the vessel's crew to aid bulk vessel inspection. For example, the removal of timber bulkhead sheathing to facilitate inspection behind the sheathing.

What are the requirements for the inspection of empty vessel holds?

- An inspection of a bulk vessel includes an inspection of all empty vessel holds intended and not intended for loading with prescribed goods.
- A number of common sites and structures typically make up a vessel hold and must be inspected by the inspection AOs where present, including
 - o hatch covers
 - o bulkheads
 - o coamings
 - o deck beams and under deck beams
 - o exposed metal surfaces
 - o cable casings
 - o pipe casings
 - o wing tanks
 - \circ hold ribs
 - o tank top ceilings
 - o manhole covers
 - bilges and bilge wells (bilge wells are confined spaces and AOs must inspect each with a torch without inserting any part of the body into the bilge)
 - o ventilation trunking.
- Inspection AOs must be alert to variations in the structure of the vessel holds that create areas where infestations, residues or contaminants may be found.
- AOs must inspect all parts of a bulk vessel hold consistent with the Work Instruction: <u>Inspecting</u> <u>empty bulk vessels for export</u> and related reference material.
- Hatch/hold covers must be fully open during hold inspection.

Inspection of vessel holds

The following table outlines the process for inspecting vessel holds.

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Stage	What happens Responsible party		
1.	From the hold opening, prior to entering the hold, all structures and viewable areas of the hold are inspected.		Inspection AOLead crew
	When the inspection AO	. Then	member
	suspects a structure may harbour infestation, residues or contaminants	 the LCM is directed by the AO to rectify any potential non-compliance identified or the LCM or vessel master provides evidence to alleviate the AOs suspicions continue to Stage 2. 	Vessel master
	does not suspect any harbour infestation, residues or contaminants	continue to Stage 2.	
2.	The vessel hold is inspected	l.	Inspection AO
	When	Then	 Lead crew member
	infestible residues are detected	 the LCM is informed by the AO that the residues will need to be knocked down and swept into piles on the tank top 	Vessel master
		 the AO inspects the piles for evidence of infestation 	
		 the LCM or vessel master arranges for treatment as appropriate continue to Stage 3. 	
	infestible residues are not detected	continue to Stage 3.	
3.	The hold is passed or failed, taking into consideration inspection requirements set out under section When does a vessel pass or fail inspection? and the Work Instruction: Inspecting empty bulk vessels for export.Inspection AO		

What are the requirements for the inspection of other areas of the vessel?

Other areas of a bulk vessel must also be inspected by inspection AOs to ensure these areas do not pose a risk of cross-infestation or cross-contamination to prescribed goods that will be loaded into approved holds or onto the vessel.

Other areas of the bulk vessel include:

- forecastle (fo'c'sle) lockers
- mast house and deck stores (inspection AOs must not enter stores identified as paint lockers and must conduct a visual inspection using a torch from outside the locker)

- dunnage, including the surface of the vessel underneath the dunnage
- bulk vessel stores and general galley areas
- weather deck
- the hatch covers of loaded vessels or holds under ballast
- deck structures, including frames and supports, mooring ropes, brackets, grabs, hoppers and transfer belts.

Other areas of the bulk vessel that are outside the scope of the bulk vessel inspection are:

- sleeping, duty and recreation rooms
- sanitary and lavatory facilities
- hospitals
- office accommodation.

When moving between areas of the vessel always inspect for evidence of infestation, residues and/or contaminants.

Inspection of weather deck and deck structures

- Deck structures must all be thoroughly inspected by the inspection AOs where they are present on the bulk vessel.
- If required, the inspection AO must request that the LCM direct vessel crew to move any items on the deck impeding a thorough inspection of the weather deck and deck structures.
- Following inspection the weather deck may be approved for loading non-consumable prescribed goods if requested by the vessel owner or owner's agent and it meets the requirements in the legislation (go to section <u>Approval for loading non-consumable goods onto other areas of the</u> <u>vessel</u>).

Inspection of spaces adjoining holds

A bulk vessel may have access points from vessel holds into adjoining lockers or storage spaces, particularly on general cargo vessels. Unless the spaces are permanently sealed, the spaces must be opened by the LCM and inspected by the inspection AOs to assess the risk of cross-infestation or cross-contamination.

Inspection of bulk vessel stores and general galley areas

Bulk vessel dry stores must be inspected by the inspection AOs for insect and rodent infestations. Areas of the vessel where stores are handled and food is prepared only need to be inspected if:

- substantial infestations have been detected during the course of inspection of dry stores
- suspected *Trogoderma* spp. have been detected.

Wet stores and refrigerated or chilled stores

Wet stores and refrigerated or chilled stores only need to be inspected if there is reason to believe these areas may have become infested with pests.

Note: Before entering these areas of the vessel, inspection AOs should ask the LCM whether there are any potential WHS risks.

Inspection of loaded holds

 Where a hold already contains cargo (is not empty), inspection AOs must inspect and determine, as far as practicable, the risk of cross-infestation or cross-contamination consistent with the Work Instruction: <u>Inspecting empty bulk vessels for export</u> and taking into consideration the cargo that is loaded according to the vessel ledgers or manifests.

- Inspection AOs must not enter a loaded hold and must inspect all parts of the hold hatch covers that are visible from on deck.
- The hatch covers of the loaded holds must not be opened.
- The inspection AO must verify that the hold is sealed.

Inspection of ballast holds

- Where a bulk vessel is compelled to berth with ballast water in a vessel hold, and the ballast water cannot be discharged until a weight of goods have been loaded into the vessel holds, inspection AOs must inspect for risk of cross-infestation or cross-contamination consistent with the Work Instruction: *Inspecting empty bulk vessels for export*.
- Inspection AOs must not enter a hold under ballast to perform inspections and must inspect all parts of the hold hatch covers that are visible from on deck.
- Bulk vessel approval may be issued for all other holds approved for loading, excluding the holds under ballast.
- A vessel owner or owner's agent must request and book a supplementary inspection of a vessel hold previously under ballast, and intended to be loaded with prescribed goods.

Important: Inspection must not occur until the ballast water has been discharged and the hold is clean and dry.

Note: A marine surveyor's certificate may also need to be issued for the hold if it is intended that prescribed consumable goods will be loaded.

When does a vessel pass or fail inspection?

- Where a bulk vessel does not meet departmental or legislative requirements, the bulk vessel must be failed or approval must be withheld until effective treatment is undertaken and a reinspection occurs.
- If infestations, residues or contaminants cannot be rectified at the time of inspection
 - \circ the affected holds or other areas of the bulk vessel must be failed
 - the bulk vessel approval (for the whole vessel) must be withheld or the vessel must be failed Important: Where infestations, residues or contaminants (or otherwise poor status of the vessel) cannot be rectified at the time of inspection, the affected holds or other areas of the vessel must <u>not</u> be passed for cross contamination ('PC'). Approval for the whole vessel must be withheld or recorded as failed.
 - the vessel master must be notified and advised to consider the appropriate treatment requirements for bulk vessels set out in this guideline
 - the inspection AO must detail the reasons the holds or other areas of the vessel failed inspection.

Changes in vessel condition following bulk vessel approval

• Where an inspection AO receives information that the condition on a vessel has changed following bulk vessel approval, they must confirm the information and suspend the bulk vessel approval until the bulk vessel has been reinspected in line with bulk vessel inspection requirements.

Notes:

- The AO performing the reinspection, does not have to be the same AO who performed the initial inspection and ordered the suspension.
- The department's <u>Grain and Seed Exports Program</u> or the local Regional Assurance Manager may also suspend the bulk vessel approval (see **section:** <u>Suspension of bulk vessel approval</u> for more information).

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- Where loading of the bulk vessel has already commenced the loading must cease.
- Based on the results of the reinspection, the inspection AO may revoke the bulk vessel approval, or revoke the suspension and reinstate the bulk vessel approval.
- Where loading of the vessel has already commenced and the bulk vessel approval is revoked, the vessel agent must contact the department's <u>Grain and Seed Exports Program</u> for further instruction.

Passing or failing an empty vessel hold or other areas identified for loading goods

The inspection AOs must withhold approval or fail the bulk vessel (for the **whole vessel**) if they note the presence of the following in a hold identified for loading or in 'other areas':

- live insects specified in Reference: <u>Pests and contaminants of grain and plant products</u> and Guideline: <u>Inspection of forest products for export</u> or such that would pose a risk of contaminating goods to be loaded
- evidence of live or dead rodent infestation (rats (Rattus spp.) and mice (Mus musculus))
- infested or infestible residues
- non-infestible residues or contaminants,
 - this includes mineral residues or other cargo residues, scale, water, flaking paint, and the like, that may contaminate the prescribed goods or impede a thorough inspection by the inspection AOs
- structural damage that may harbour infestation(s), residue(s) or contaminants or impede a thorough inspection by the inspection AOs.

Note: Suspected <u>National Priority Plant Pests</u> should be reported to the department through the <u>See. Secure. Report</u> hotline.

Non-infestible residues

Where non-infestible residues are found and they impede a thorough inspection, the inspection AOs must:

- document the details of the non-infestible residues in the comments section of the inspection record, including the type and amount of non-infestible residue detected
- fail the hold or other area and withhold approval or fail the bulk vessel (for the **whole vessel**) until the risk is eliminated.

Important: This process must be followed even if a marine surveyor has previously certified the hold.

Insects flying into holds

- When insects are flying into bulk vessel holds in large numbers, bulk vessel approval must be withheld or suspended as applicable.
- Where loading of the bulk vessel has already commenced the loading must cease. The vessel agent must contact the <u>Grain and Seed Exports Program</u> for further information.

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Passing or failing holds or other areas not intended for loading prescribed goods

In determining whether to pass or fail a hold or other area of the vessel not intended for loading prescribed goods, inspection AOs must be satisfied that these areas do not pose a risk of cross-infestation or cross-contamination to any prescribed goods.

The following table outlines the factors for determining whether to pass or fail a hold not intended for loading or other area of the vessel.

When	And	Then
infested residue is found	there is a risk of cross- infestation or cross- contamination to prescribed goods	The AO must fail the hold, or other area, and withhold approval or fail the bulk vessel until the risk is eliminated.
Infestible or non- infestible residues are found	N/A	The residues must be cleaned and disposed of in a quarantine compliant manner before the AO passes that hold(s), or other area(s), and issues bulk vessel approval.
		Important: These hold(s), or other area(s), must not be passed and approved for loading.

What are the treatment requirements for bulk vessels?

- Where vessel approval is being withheld the exporter, in consultation with the master or agent, may choose to treat the non-compliance and schedule a reinspection following treatment.
- Inspection AOs must not specify the type of treatment required unless suspected *Trogoderma* spp. are detected (go to section: <u>Treatment of *Trogoderma* spp. infestations</u>).
- The choice of treatment for non-*Trogoderma* spp. infestations and other residues or contaminants is the responsibility of the master in consultation with the exporter.

Note: AOs may draw the master's attention to specific treatment considerations that should be considered (**go to section**: <u>Advice to vessel master regarding treatments</u>).

For more information on treatments **go to** Reference: <u>Use of phytosanitary treatments for plant</u> <u>exports</u>.

Treatment of *Trogoderma* spp. infestations

If any suspected *Trogoderma* spp. are found in any part of the bulk vessel during an inspection, the infestation must be treated with the following specifications:

• Fumigation with methyl bromide at a rate of 80g/m³ for 48 hours at 21°C and above at Normal Atmospheric Pressure (NAP) with an end point concentration at 48 hours of 20g/m³.

Advice to vessel master regarding treatments

Where treatment of hold or other area of a bulk vessel is required, except where suspected *Trogoderma* spp. are detected, AOs must recommend that the master considers the following:

- the treatment must be of a type that can effectively treat the type of infestation
- treatments must be administered in accordance with their registered label, the relevant MSDS, and must be registered for use in Australia with the Australian Pesticides and Veterinary Medicines Authority (APVMA)
- treatments must be administered in accordance with the relevant state/territory legislation

- if the treatment is a chemical, the master is responsible for ensuring the chemicals applied are within the importing country's Maximum Residue Limits and are applied by an operator conforming to state/territory legislation
- the treatment must be acceptable for the importing country (except where the treatment is to be applied to the bulk vessel's stores and general galley areas)
- the treatment must be approved by the exporter (except where the treatment is to be applied to the bulk vessel's stores and general galley areas).

Treatment of dunnage

- Where treatment of dunnage has occurred, AOs must check that every individual piece of dunnage was sprayed on every side.
- When fumigation of a vessel hold occurs, the dunnage may be included in the fumigation treatment and may be transferred into the appropriate hold to facilitate this.

Treatment of infested residues

- Residues infested with insects must be treated as an insect infestation.
- Where the infested residue was found in a vessel hold, the residue must be retained, and treatment take place, in the hold where the infested residue was detected.
- Infested residues must not be removed from the hold until, through reinspection, the inspection AO is satisfied that the infestation has been eliminated.

Note: Other infestible and non-infestible residues may be treated through a quarantine compliant disposal method.

Quarantine compliant disposal of residues

Residues that are not infested must be disposed of in a manner that complies with the legislation and any relevant quarantine protocols. There are two acceptable methods for the disposal of residues:

Disposal in suitable containers

- The residue must be placed in suitable containers.
- The containers must be filled with sea water so the residue is completely immersed.
- The containers must then be stowed on the upper deck of the vessel.

Removal of the residue from the vessel

- The inspection AO must contact the <u>Grain and Seed Exports Program</u> to establish the correct protocol for removal of a residue of the type encountered.
- The protocol must be adhered to by the vessel crew under the direction of the master.

What are the requirements for the reinspection of bulk vessels?

- Re-inspections must be conducted consistent with this Guideline, the Work Instruction: Inspecting empty bulk vessels for export and related reference material.
- Where bulk vessel approval has been revoked the bulk vessel must be inspected in full.
- When reinspecting a vessel the inspection AOs must reinspect holds or other areas of the vessel that have previously failed inspection.
- Inspection AOs are not required to reinspect holds or other areas of the vessel that have been passed, unless
 - the bulk vessel approval has expired **(go to section:** <u>Expiration of bulk vessel approval</u> and a complete reinspection of the vessel is required)

- the hold or other area of the vessel was previously passed for risk of cross-contamination or cross-infestation, but was not passed and approved for loading, and a subsequent inspection and approval for loading by an inspection AO has been requested by the vessel owner or owner's agent
- the AO suspects or has been informed that the condition of the vessel or the condition of a part of the vessel may have changed since the previous inspection, such that the departmental and legislative requirements for approval are no longer met.

Note: In determining whether the condition may have changed in a hold or an area of the vessel, AOs should consider the possibility that pests may have been deflected from one area of the vessel to another as a result of the application of the treatment.

Reinspection of a vessel following treatment

- When performing re-inspections of bulk vessels following the application of a treatment, inspection AOs must perform a full assessment of the effectiveness of the treatment, including that
 - \circ $\;$ the treatment has been carried out if indicated on the original inspection record
 - evidence of treatment has been provided in the form of a relevant treatment certificate, statutory declaration or a written declaration from the vessel's master
 - \circ the vessel complies with the requirements of the legislation.
- AOs must consider the possibility that pests may have been deflected from one area of the vessel to another as a result of the application of the treatment when determining the extent to reinspect a vessel following treatment and whether conditions on the vessel may have changed following the application of a treatment.
- If, during the reinspection, it is found that the bulk vessel does not comply with the standards required, the AO must fail the holds or other areas of the vessel, and must withhold bulk vessel approval. Further treatment and an additional reinspection of the bulk vessel hold or other area by inspection AOs will be required.

Reinspection of timber sites

- Where timber has been lifted to permit inspection and the removal of residues, further timber components should be dismantled only if it is necessary for the inspection AOs to be satisfied the degree of cleaning has been achieved.
- When dismantling timber is required during reinspection, the AO must select the planks that will be lifted and must remain well away from the sections that were removed during the initial inspection.

Reinspection following treatment of rodent infestation

- Where a rodent infestation was detected and baiting has occurred, reinspection must not commence until the period specified on the MSDS has lapsed.
- Reinspection must ensure that all unused baits have been removed and that rodent carcasses have been disposed of appropriately.

What are the requirements for bulk vessel approval?

Recording bulk vessel inspection results and approvals

- Inspection AOs must document the conditions of the bulk vessel inspection and all findings, including
 - all non-compliances, including structural damage, infestations, residues and contaminants encountered during the inspection

- any treatment undertaken by the vessel crew during the course of the inspection to address a non-compliance.
- AOs must record all inspection findings and results, and issue bulk vessel approval, using the Reference: <u>Bulk vessel inspection record</u> or electronically in PEMS.
- The inspection results of any supplementary inspections, or reinspection's, must be recorded on a new Reference: <u>Bulk vessel inspection record</u> or as a new inspection record created in PEMS.
- Where multiple manual bulk vessel inspection records are used for a vessel, the bulk vessel inspection records must be numbered chronologically to simplify traceability of the bulk vessel throughout the inspection and approval process.

Issuing bulk vessel approval

Bulk vessel approval must only be issued once the inspection AOs have inspected all holds and other areas of the vessel as far as necessary and appropriate, and the AOs are satisfied that the vessel complies with the requirements of the legislation:

- at least one hold has been passed for loading, unless the bulk vessel approval is only being issued for loading of non-consumable goods onto other areas of the vessel (go to section: <u>Approval for loading non-consumable goods onto other areas of the vessel</u>)
 - the AOs must clearly specify which holds or other areas of the vessel have been passed and approved for loading prescribed goods
- the AOs are satisfied that all other holds and other areas of the vessel are passed for risk of cross-contamination or cross-infestation to the prescribed goods
- where consumable prescribed goods will be loaded, the marine surveyor's certificate for the bulk vessel has been issued and sighted for the holds passed for.

Important: If an AO is in any doubt as to the suitability of the bulk vessel to loading prescribed goods, the AO should contact the department's <u>Grain and Seed Exports Program</u>.

Approval for loading non-consumable goods onto other areas of the vessel

- Bulk vessel approval may be issued for non-consumable goods to be loaded onto other parts of a vessel, such as the vessel deck or hatch covers, as long as the bulk vessel complies with departmental and legislative requirements.
- Where bulk vessel approval is issued for loading onto other areas of the vessel the AO must clearly specify on the bulk vessel approval which other areas are passed and approved for loading.
- The AO may issue bulk vessel approval only for loading of non-consumable prescribed goods onto other areas of the vessel, or approval for loading onto other areas of the vessel may form part of a general bulk vessel and hold approval.
- Where prescribed non-consumable goods will only be loaded onto the vessel deck and hatch covers the AO must still inspect and pass all other areas of the vessel as far as necessary and appropriate in order to issue bulk vessel approval for loading onto other areas of the vessel.

Expiration of bulk vessel approval

- Bulk vessels that are inspected and passed remain compliant for 28 days from the date bulk vessel approval is issued.
- Where the 28 day validity period is exceeded prior to loading, inspection AOs must perform a full reinspection of the bulk vessel as far as practicable and appropriate before issuing bulk vessel approval.
- A new bulk vessel approval can be issued on the basis of the original marine surveyor's certificate if the AO is satisfied that the condition of the bulk vessel has not changed since the original marine surveyor's certificate was issued.

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Extending expiration of approval in exceptional circumstances

- In exceptional circumstances, the validity period for vessel approval can be extended beyond the 28 days.
- To extend bulk vessel approval the exporter must provide details supporting the request to the department's <u>Grain and Seed Exports Program</u> before the 28 day expiration period ends.
- The exporter must supply
 - o details of the exceptional circumstances for which an extension if required
 - a justifiable period of extension
 - information that gives assurance that the condition of the vessel has not changed and the phytosanitary status or security of the vessel has not been compromised since the bulk vessel approval was issued.

Suspension of bulk vessel approval

- Bulk vessel approval may be suspended if an AO suspects the conditions on the vessel have changed following the issuance of the vessel approval.
- Where there is a change in condition of a specific hold or other area of the vessel following approval, such as where a hold is re-ballasted following approval, the approval for that specific hold or other area may be revoked in isolation of the rest of the vessel approval, provided there is no risk of cross contamination.
- Where loading of the bulk vessel has already commenced the loading must cease until the necessary bulk vessel approval is reinstated.
- The department's Grain and Seed Exports Program or local Regional Assurance Manager (RAM) may also suspend bulk vessel approval where information relating to a change of condition is confirmed in writing and it is necessary to suspend the bulk vessel approval.

Stage	What happens	Responsible party
1.	The bulk vessel approval is suspended manually or in PEMS, and the clients to whom bulk vessel approval was initially issued is notified that bulk vessel approval has been suspended.	Inspection AO, RAM or Grain and Seed Exports Program
2.	 The bulk vessel approval records are surrendered: paper versions and any copies are returned to the inspection AO and/or all electronic versions are deleted. 	Client

The following table outlines the process for suspending bulk vessel approval.

Direction to cease loading bulk vessel

- The department's <u>Grain and Seed Export Program</u> may direct that the loading of an approved bulk vessel cease in circumstances where there is a reasonable belief that the:
 - marine surveyor's certificate was not issued by a qualified marine surveyor or was obtained fraudulently
 - requirements for bulk vessel approval are no longer met (including in relation to pest infestations or contaminants)
 - Trogoderma spp. is detected in or on the vessel.
- This direction may be issued independently or in conjunction with the suspension of a bulk vessel approval.
- A failure to comply with a direction issued under the Act may be an offence.

Refer to the Work Instruction: <u>*Regulatory response to vessel non-compliance*</u> for further information on the process for issuing a direction to cease loading of a bulk vessel.

Revoking the suspension of bulk vessel approval

- Following the suspension of bulk vessel approval, AOs may re-inspect the vessel to see if the conditions have changed (go to section: What are the requirements for the reinspection of bulk vessels?).
- Following re-inspection, if the AOs are satisfied that the suspension and approval is no longer justified the AOs must revoke the suspension.
- The department's Grain and Seed Exports Program may also revoke the suspension of a bulk vessel approval where there is sufficient evidence provided to confirm that the reason for the suspension has been rectified.

The following table outlines the process for revoking the suspension of bulk vessel approval.

Stage	What happens	Responsible party
1.	The suspension of the bulk vessel approval is revoked manually or in PEMS, and the clients to whom bulk vessel approval was initially issued is notified that bulk vessel approval has been reinstated.	Inspection AO or Grain and Seed Exports Program
2.	 The surrendered bulk vessel approval records are returned to the clients: paper versions and any copies are returned and/or approval is provided electronically. 	Inspection AO or Grain and Seed Exports Program

Revocation of bulk vessel approval

- Bulk vessel approvals may be revoked by an AO or the Grain and Seed Exports Program where the vessel conditions that lead to the suspension of approval have not been rectified and the vessel no longer complies with legislative requirements.
- Where there is a change in vessel condition to a specific hold or other area of the vessel following approval, such as where a hold is re-ballasted following approval, the approval for that specific hold or other area may be revoked in isolation of the rest of the vessel approval. In these circumstances the inspection AOs must be satisfied that the remaining areas of the vessel still complies with legislative requirements and the specific hold or other area does not pose a risk of cross-infestation or cross-contamination to the goods to be loaded.
- Where the AOs believe that bulk vessel approval must be revoked in full or in part, the AOs must notify the clients to whom the bulk vessel approval was initially issued.
- Following revocation of approval, the master may choose to treat the non-compliance and apply for bulk vessel reinspection and approval (go to section: <u>What are the requirements for the</u> reinspection of bulk vessels?).
- Where loading of the vessel with prescribed goods has already commenced, the vessel agent must contact the department's <u>Grain and Seed Exports Program</u> for further instruction.

Record keeping

Clients and AOs must retain documentation in relation to receivals, inspections, audits, registration, accreditation and export certification for a period of at least two years.

Note: If inspection records and supporting documents are available in PEMS, the documents are automatically archived and do not need to be retained by AOs.

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Related material

The following related material is available in the <u>Plant export operations manual (PEOM)</u> on the department's website:

- Guideline: Supporting documents for plant exports
- Guideline: Inspection of prescribed grain and plant products for export
- Guideline: Inspection of forest products for export
- Work Instruction: Inspecting empty bulk vessels for export
- Work Instruction: Completing plant export inspection and treatment records
- Work Instruction: Regulatory response to vessel non-compliance
- Reference: Bulk vessel terms and definitions
- Reference: *Pre-entry hazard assessment of third party worksites including bulk vessels (Take 5 Checklist)*
- Reference: Pests and contaminants of grain and plant products
- Reference: Work health and safety in the plant export environment
- Reference: Bulk vessel inspection record
- Reference: Plant Exports Management System authorised officer user guide
- Reference: Plant exports guide Equipment
- Reference: Use of phytosanitary treatments for plant exports

Related WHS material is available on the Instructional Material Library for departmental AOs.

The following related material is available online:

- <u>AIMS website</u>
- AMSA website
- <u>National Priority Plant Pests (See. Secure. Report hotline)</u>
- <u>Authorised Officer declaration of boarding experience</u>

Contact information

- Authorised Officer Program: <u>PlantExportTraining@agriculture.gov.au</u>
- Grain and Seed Exports Program: <u>Grain.Export@agriculture.gov.au</u>
- See. Secure. Report hotline: 1800 798 636.

Document information

The following table contains administrative metadata.

Instructional Material Library document ID	Instructional material owner
IMLS-9-3663	Director, Grains, Seeds and Weeds

Version history

The following table details the published date and amendment details for this document.

Version	Date	Amendment details
1	6/11/2017	First publication of this guideline.

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Version	Date	Amendment details	
2	13/04/2018	Addition of requirements for inspecting bulk vessels at protected anchorage.	
3	14/06/2018	Addition of equivalent pre-requisite competencies.	
4	27/06/2019	 Clarification of buddy system requirements. Title changed from <i>Empty bulk vessels for export</i>. 	
5	1/10/2019	Addition of Albany and Esperance anchorages to the approved inspection locations.	
6	28/03/2021	Updated for the commencement of the <i>Export Control Act 2020</i> and associated Export Control (Plants and Plant Products) Rules 2021	
7	6/05/2021	Addition of two anchorages.	
8	1/01/2022	Inclusion of requirements for video recording the inspection.	
9	14/04/2023	 Addition of requirement for inspecting bulk vessels. Addition of an approved anchorages at Port Phillip Bay (Victoria). 	
10	1/07/2023	 Updates to require AOs to cross-reference with the AIMS website to ensure marine surveyors are listed under the AGSA scheme. Updates to determining when a vessel hold, or other area, can be passed, passed for cross contamination or approval withheld. 	

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Attachment 1: Buddy system

Note: For the definition and policy relating to the use of the Buddy system, **go to section**: <u>Work</u> <u>health and safety</u>.

The following table outlines the activities and discussions that two or more BVI accredited AOs engaged in the buddy system should perform prior to undertaking an empty bulk vessel inspection.

Step	Activity	Description
1.	Identify work activities	Activities that are inherently hazardous and where safety can be improved by application of the Buddy System are identified.
2.	Discuss the hazards	AOs working together as Buddies discuss the hazards inherent in the work they are going to do.
3.	Identify the type of help one Buddy can give to another	Buddies agree who will do what, to whom and when they will do it to increase the safety of their buddy.
4.	Start the work	The buddies are to carry out the work and provide support to each other in the way they have previously agreed.
5.	Contingency planning	If one buddy is unable to continue to act as a buddy for any reason, the buddy informs the other AO of that fact.
6.	Emergency help	In the event of harm occurring to an AO, their buddy raises the alarm and provide whatever help is possible until the AO at risk has been assisted and the situation has been normalised.
7.	Stopping work	When the work is completed or the buddies agree to stop working, this is communicated to all buddies involved in the work.